



United Nations
Educational, Scientific and
Cultural Organization



Diversity of
Cultural Expressions

9 IGC

CE/15/9.IGC/5
Paris, 14 October 2015
Original: English

INTERGOVERNMENTAL COMMITTEE FOR THE PROTECTION AND PROMOTION OF THE DIVERSITY OF CULTURAL EXPRESSIONS

Ninth ordinary session
UNESCO Headquarters, Paris,
14-16 December 2015

Item 5 of the provisional agenda: Future activities of the Committee: draft workplan, timeline resources.

At its fifth ordinary session in June 2015, the Conference of Parties adopted Resolution 5.CP 14 on the future activities of the Committee, calling upon the Committee to establish at its ninth ordinary session, a workplan and timeline for the implementation of the activities based on the human and financial resources available from both the regular programme and extra-budgetary resources. This document sets out the main elements for a potential workplan including new activities to be pursued and reported to the sixth ordinary session of the Conference of Parties scheduled for June 2017.

Decision required: Paragraph 8.

1. At its fifth ordinary session in June 2015, the Conference of Parties to the Convention on the Protection and Promotion of the Diversity of Cultural Expressions (hereinafter “the Conference of Parties” and “the Convention”) adopted a list of future activities for the Intergovernmental Committee for the Protection and Promotion of the Diversity of Cultural Expressions (hereinafter “the Committee”) to pursue until its sixth ordinary session in June 2017. Below is this list, with accompanying references to relevant working and information documents submitted to the Committee at this ninth ordinary session.

2. Resolution 5.CP 14 requests the Committee to:

- implement the Global Capacity-Building Strategy and develop training modules for Articles 16 and 21 (see documents CE/15/9.IGC/4 and CE/15/9.IGC/8);
- implement the International Fund for Cultural Diversity (IFCD), its fundraising strategy, and prepare terms of reference for the second Internal Oversight Service (IOS) audit and evaluation of the IFCD to be launched in 2017, with special attention to the application of UNESCO's cost recovery policy and the criteria for the allocation of funds pertaining to sustainability and need (see documents CE/15/9.IGC/6 and CE/15/9.IGC/INF.4 and INF.5);
- continue its policy monitoring activities to assess the impact of the Convention through the collection and analysis of data, information and best practices based on the Parties' quadrennial periodic reports and other sources, and share the results through the publication of a biennial global Report to monitor the implementation of the Convention and through the development of a global knowledge management system. Particular attention is to be given to monitoring the impact of Articles 16 and 21 (see documents CE/15/9.IGC/4 and CE/15/9.IGC/8);
- pursue synergies in the monitoring of activities of related international standard setting instruments, in particular the 1980 Recommendation concerning the Status of the Artist;
- continue to encourage and assess the engagement of civil society in the implementation of the Convention as well as in the work of the Convention's governing bodies (see document CE/15/9.IGC/9);
- prepare draft Operational Guidelines on digital issues (see document CE/15/9.IGC/7);
- develop an overall results framework for the Convention including SMART indicators (see document CE/15/9.IGC/4);
- continue monitoring recommendations resulting from the IOS evaluations (pilot phase of the IFCD, working methods of the culture conventions), desk study (on the implementation of the Convention) and from the external evaluator (self-assessment of governing bodies) (see document CE/15/9.IGC/4).

3. Resolution 5.CP 14 also requested the Committee to “establish, at its ninth ordinary session, a work plan and timeline for the implementation of these activities based on the human and financial resources available from both the Regular Programme and Extra-budgetary Resources”. In doing so, the Committee is to also keep in mind the results of the IOS audit of working methods of the cultural conventions and its Report on the “Evaluation of UNESCO's Standard-setting Work of the Culture Sector Part IV – 2005 Convention on the Protection and Promotion of the Diversity of Cultural Expressions”.¹ One of the main findings of the IOS audit on the working methods of the culture conventions was that “the current situation is unsustainable as support from the regular programme budget decreases and the workload of the convention secretariats increases. There is thus a need to review the amount of Secretariat work that can be executed and cost-saving measures should be considered (...)”.

¹ See Documents IOS/AUD/2013/06 and IOS/EVS/PI/134 REV respectively.

4. The purpose of this document is to provide a draft work plan that could serve as a basis for discussion by the Committee. The Annex sets out the main activities determined by the Conference of Parties as priority as well as the types of action required to implement them prior to its sixth ordinary session.

5. The Annex also provides information on the sources of secured funding and estimates on the extra-budgetary financial resources required to achieve all priority activities on the Committee's work plan: totalling US \$ 6 653 906 (without staff costs). These figures are aligned with those presented in the Complementary Additional Programme (CAP) proposals for the Convention and other working documents reviewed by the Committee at this ninth ordinary session. These extra-budgetary funds are required in addition to the Regular Programme funding allocated in the draft 38 C/5 budget (ZNG + Expenditure Plan) to be adopted by the 38th session of the General Conference for the implementation of activities under Expected Result 7 amounting to US \$ 1 220 000 (HQ without staff costs) and US \$ 650 000 (FO without staff costs) for the 2016-2017 Biennium.

6. In addition, the Annex indicates that additional human resources are required to implement the priorities set out in Resolution 5.CP 14, particularly in the fields of policy research and knowledge management required to monitor and assess the impact of the Convention at both the global and country levels.

7. The Committee is invited at this session to consider, discuss, amend and adopt the work plan of its activities as set out in the Annex. For each activity, the Committee is invited to review proposals for action as well as the financial resources required to achieve all priority activities prior to the sixth ordinary session of the Conference of Parties.

8. The Committee may wish to adopt the following decision:

DRAFT DECISION 9.IGC 5

The Committee,

1. *Having examined document CE/15/9.IGC/5 and its Annex;*
2. *Recalling Resolution 5.CP 14 of the Conference of Parties;*
3. *Takes note of the draft work plan for the activities of the Committee 2015-2017 contained in the Annex of this document and annexed to this decision;*
4. *Notes the Organization's increasing reliance on extra budgetary contributions and invites the Parties to provide financial resources to the Secretariat in accordance with the priorities established by the Conference of Parties at its fifth ordinary session, particularly in relation to capacity-building, knowledge production and management and related activities, thus implementing Recommendation 1(b) of the IOS audit and Articles 9 and 19 of the Convention;*
5. *Acknowledges the need to strengthen the human resource capacities of the Secretariat in a sustainable manner to enable it to respond efficiently to the priorities identified by the Parties, and requests the Parties to provide the Secretariat with associate experts in the areas covered by the Convention.*

ANNEX

**Draft work plan for the activities of the Committee and Resource Requirements
June 2015-June 2017**

Source of Funding:

RP = Regular Programme funds; **S-EXB** = Secured Extra-Budgetary funds; **R-EXB** = Required Extra-Budgetary funds

PRIORITIES ESTABLISHED BY 5.CP 14	KEY AREAS OF ACTION (SOURCE OF FUNDING)	ESTIMATED COSTS FOR REQUIRED EXTRA-BUDGETARY FUNDS	TOTAL EXB FUNDS + OTHER FORMS OF SUPPORT REQUIRED
<p>Implement the Global Capacity-Building Strategy</p>	<p>Capacity development activities to raise awareness of the Convention and support sustainable systems of governance for culture (R-EXB)</p> <p>Capacity development activities in 11 countries for evidence based policy making and periodic reporting (S-EXB)</p> <p>Development, finalization and testing new training modules on preferential treatment (S-EXB)</p> <p>Management of the Expert Facility and biennale meeting of experts (RP) and its continued expansion (R-EXB)</p>	<ul style="list-style-type: none"> - 10 training workshops to raise awareness of the Convention (US \$ 500 000) - Evaluation and capacity assessment missions in 13 countries (US \$ 1 million) - Demand driven technical assistance for policy development in 20 countries (US \$ 3 million) - Expert Facility expansion in Asia Pacific and Arab regions (US \$ 600 000) 	<p style="text-align: center;">US \$ 5 100 000</p>
<p>Implement the International Fund for Cultural Diversity (IFCD)</p>	<p>Management and administration of the sixth and seventh cycles of the IFCD calls for funding requests (RP)</p> <p>Monitoring and evaluation of IFCD funded projects (R-EXB)</p> <p>Implementation and monitoring of the fundraising and communication strategy (R-EXB)</p> <p>Implementation of IOS recommendations (R-EXB)</p> <p>Second IOS audit and evaluation of the IFCD to be launched in 2017 (R-EXB)</p>	<ul style="list-style-type: none"> - Monitoring and evaluation of IFCD funded projects (US \$ 300 000) - Fundraising and communication activities in 2016 (US \$ 50 489) and in 2017 (US \$ 53 417) - Finalize full integration of the RBM framework into the operations of the IFCD, including development of a database to collate monitoring statistics on IFCD projects as well as for data collection and analysis (US \$ 50 000) - Audit and evaluation of the IFCD to begin in January 2017 (US \$ 50 000) 	<p style="text-align: center;">US \$ 503 906</p>

PRIORITIES ESTABLISHED BY 5.CP 14	KEY AREAS OF ACTION (SOURCE OF FUNDING)	ESTIMATED COSTS FOR REQUIRED EXTRA-BUDGETARY FUNDS	TOTAL EXB FUNDS + OTHER FORMS OF SUPPORT REQUIRED
<p>Monitor and assess the impact of the Convention</p>	<p>Examination of Parties' periodic reports at the 9IGC and 10 IGC (RP)</p> <p>Preparation, publication and debate on two global Reports to monitor the implementation of the Convention (December 2015 and June 2017) using the information provided through the periodic reports as a basis for analysis (S-EXB)</p> <p>Development of a global knowledge management system, including the collection of data and good practices to monitor the impact of the Convention, in particular Articles 16 and 21 (RP, S-EXB)</p>	<ul style="list-style-type: none"> - Translation and publication of the global Reports into Spanish and Arabic (US \$ 150 000) - Launch events and public debates on the reports to take place at country level (US \$ 150 000) 	<p style="text-align: center;">US \$ 300 000 + Associate experts (KMS developers, communication professionals, junior researchers)</p>
<p>Pursue synergies with the 1980 Recommendation concerning the Status of the Artist</p>	<p>Follow up on debates during UNESCO 197 Executive Board and 38th session of the General Conference on implementation of the 1980 Recommendation (RP, R-EXB)</p> <p>Collection of data and good practices to monitor the impact of the Recommendation in areas of digital technologies, freedom of expression, transnational mobility and policies to support the social and economic status of artists (RP, R-EXB)</p>	<ul style="list-style-type: none"> - Translation and publication of the global Report on monitoring the Recommendation into Spanish and Arabic (US \$ 50 000) - Collection of data and good practices (US \$ 100 000) 	<p style="text-align: center;">US \$ 150 000</p>
<p>Assess the involvement of civil society in the implementation of the Convention and in the work of the governing bodies</p>	<p>Examination of an initial report on the involvement of civil society at the 9IGC (RP)</p> <p>Decide upon a plan of action to better involve civil society in the implementation of the Convention and work of the governing bodies (R-EXB)</p> <p>Organisation of three exchange sessions to take place in the context of the meetings of the governing bodies (RP, R-EXB)</p> <p>Inscribe a regular agenda item in the meetings of the governing bodies on the role of civil society in the implementation of the Convention (RP, R-EXB)</p>	<ul style="list-style-type: none"> - Travel funds for civil society organisations to participate in the meetings of the governing bodies and 3 exchange sessions (US \$ 100 000) - 10 training workshops for civil society organisations at the country level (US \$ 500 000) 	<p style="text-align: center;">US \$ 600 000</p>

PRIORITIES ESTABLISHED BY 5.CP 14	KEY AREAS OF ACTION (SOURCE OF FUNDING)	ESTIMATED COSTS FOR REQUIRED EXTRA-BUDGETARY FUNDS	TOTAL EXB FUNDS + OTHER FORMS OF SUPPORT REQUIRED
Elaborate Operational Guidelines on digital issues	Initial discussion by the 9IGC on the framework regarding operational guidelines (RP) Examination of the preliminary draft operational guidelines and adoption by 10 IGC (RP)	No additional resources required	No additional resources required
Develop and implement a results framework for the Convention including SMART indicators	Development of initial results framework presented in the first global report to monitor the implementation of the Convention (S-EXB) Further develop results framework and SMART indicators (S-EXB) Implement indicators and collect data required for monitoring (RP, S-EXB)	No additional resources required	No additional resources required
Monitor IOS recommendations	Monitor implementation of IOS recommendations and undertake follow-up actions (RP)	No additional resources required	No additional resources required
TOTAL EXTRABUDGETARY FUNDS REQUIRED	US \$ 6 653 906 (without staff costs)		