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منظمة الأمم المتحدة  
للتربية والعلم والثقافة

联合国教育、  
科学及文化组织

## Diversity of Cultural Expressions

3 CP

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**CE/11/3.CP/209/10  
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### CONFERENCE OF PARTIES TO THE CONVENTION ON THE PROTECTION AND PROMOTION OF THE DIVERSITY OF CULTURAL EXPRESSIONS

**Third ordinary session  
Paris, UNESCO Headquarters, Room II  
14-17 June 2011**

**Item 10 of the provisional agenda:** Submission and dissemination of quadrennial periodic reports of the Parties to the Convention

This document sets out the modalities for the submission and dissemination of quadrennial periodic reports of the Parties to the Convention.

Decision required: paragraph 13

1. At its second ordinary session (June 2009), the Conference of Parties to the Convention on the Protection and Promotion of the Diversity of Cultural Expressions (hereinafter “the Convention”) requested the Intergovernmental Committee for the Protection and Promotion of the Diversity of Cultural Expressions (hereinafter “the Committee”) to submit to it for approval at its next session (June 2011) draft operational guidelines concerning Article 9 of the Convention in respect of information sharing and transparency (Resolution 2.CP 7).
2. Sub-paragraph (a) of Article 9 of the Convention provides that the Parties shall provide appropriate information in their reports to UNESCO every four years on measures taken to protect and promote the diversity of cultural expressions within their territory and at the international level.
3. At its fourth ordinary session (December 2010) the Committee adopted draft operational guidelines for information sharing and transparency (Article 9 of the Convention) which it submitted to the Conference of Parties for approval (Decision 4.IGC 7). It also adopted a draft framework for quadrennial periodic reports which is annexed to the draft operational guidelines. Furthermore, at its third session (December 2009), the Committee considered the timetable for the submission of quadrennial periodic reports (see Annex).
4. At its third ordinary session the Conference of Parties is invited to approve the draft operational guidelines for Article 9 of the Convention and its annex concerning the draft framework for quadrennial periodic reports submitted by the Committee (see document CE/11/3.CP/209/7). In accordance with paragraph 14 of the draft operational guidelines, the Conference of Parties is also invited to define, by a resolution, the modalities by which the periodic reports will be made available.
5. With regard to the *submission of reports*, paragraph 9 of the draft operational guidelines provides that “upon the request of the Conference of Parties, the Secretariat invites Parties to prepare their quadrennial periodic reports, at the latest six months before the date set for submission. To this end, the Secretariat refers to the points of contact appointed by the Parties and to the Permanent Delegations to UNESCO as well as the National Commissions for UNESCO”.
6. At its third session (December 2009), the Committee adopted the principle requiring Parties to submit their first report four years after ratifying the Convention and every four years thereafter. According to the timetable for submission of quadrennial periodic reports considered by the Committee (see Annex), Parties that ratified the Convention between 2005 and 2008, of which there are 94, shall submit their reports in April 2012, and Parties that ratified the Convention in 2009, of which there are 11, shall do so in April 2013. Accordingly in October 2011 and October 2012 the Secretariat will invite the Parties concerned to send their reports to it in April 2012 and April 2013, on paper and in electronic format in one of the Committee’s working languages (English or French) (paragraph 10 of the draft operational guidelines).
7. The Secretariat will then forward to the Committee at its sixth ordinary session (December 2012) a strategic and action-oriented analytical summary of the quadrennial periodic reports received and the actual reports (paragraph 12 of the draft operational guidelines) of Parties that ratified the Convention between 2005 and 2008.
8. Pursuant to the Committee’s deliberations, quadrennial periodic reports will be forwarded to the Conference of Parties for review at its fourth ordinary session, in June 2013, together with the Committee’s comments and a content summary (Articles 22.4 (b) and 23.6 (c) of the Convention and paragraph 13 of the draft operational guidelines). It transpires from the Committee’s debates that the Secretariat’s analytical summary of periodic reports, the summaries that Parties are required to provide together with their reports and the Committee’s comments will form part of the working documents that will be considered by the Conference of Parties and will therefore be translated into the six working languages of the Conference. In view of the Secretariat’s insufficient financial and human resources, out of concern to protect the environment and in order to comply with UNESCO’s practice, the periodic reports will be available to Parties in the language (English or French) in which they will be drafted and will not be translated.

9. In regard to the *dissemination of reports*, paragraph 14 of the draft operational directives provides that “to facilitate the exchange of information relating to the protection and promotion of the diversity of cultural expressions, quadrennial periodic reports will be made available according to the modalities defined by a resolution of the Conference of Parties”.

10. Within the Culture Sector, practice in the dissemination of reports varies from one Convention to the other: (1) There are those (the 1954, 1970 and 1972 Conventions) for which States’ reports are not disseminated but the information contained therein is analysed and processed by the Secretariat in an overall analytical report that is then submitted to the Committee or the General Conference for consideration or adoption. Once the Committee or General Conference has considered and adopted the Secretariat’s analytical report, it is released for public information. (2) In the case of the Convention for the Safeguarding of the Intangible Cultural Heritage (2003), reports are made available to the States Parties for information before the Committee session at which they are to be considered. After the session, States’ reports are released for public information, unless the Committee exceptionally decides otherwise.

11. In accordance with paragraph 14 of the draft operational directives, which provides that reports shall be disseminated, two successive approaches might be considered: (1) dissemination to the Parties to the Convention; and subsequently, (2) dissemination to the public. With regard to dissemination to the Parties, the Conference of Parties might decide that reports shall be made available to Parties for information before the Committee session at which they are to be considered. As to dissemination to the public, the Conference of Parties might decide that after the session at which it has considered them, reports shall be released for public information, unless it exceptionally decides otherwise.

12. The Conference of Parties may wish to adopt the following resolution:

### **DRAFT RESOLUTION 3.CP 10**

*The Conference of Parties,*

1. Having examined document CE/11/3.CP/209/10 and its Annex,
2. Adopts the timetable for the submission of quadrennial periodic reports of Parties, as annexed to this document;
3. Decides that Parties that ratified the Convention between 2005 and 2008 shall submit their first quadrennial periodic report to the Secretariat in April 2012 and that those that ratified the Convention in 2009 shall submit their reports in April 2013;
4. Requests the Secretariat to invite Parties that ratified the Convention between 2005 and 2009 to compile their quadrennial periodic reports not later than six months before the deadline set for their submission, as stipulated in paragraph 3 of this resolution;
5. Further requests the Secretariat to draw up a strategic and action-oriented analytical summary of the quadrennial periodic reports received and to submit it to the Committee at its sixth session (December 2012);
6. Invites the Committee to submit to it at its next session the periodic reports together with its comments and a content summary, and requests the Secretariat to submit to it the analytical summary of the quadrennial periodic reports that it has received;
7. Decides that quadrennial periodic reports shall be made available to Parties for information before the Committee session at which the reports are to be considered, and also decides that reports shall be made available to the public for information after the session of the Conference of Parties at which it has considered them.

ANNEX

Timetable for the submission of quadrennial periodic reports of Parties  
(Article 9(a) of the Convention)

Date	Number of reports submitted to <i>Secretariat</i>	Number of reports examined by the <i>Committee</i>		Number of reports forwarded to the <i>Conference of Parties</i>	
		Number	Session	Number	Session
04/2012	<b>First reports: 94</b> 4 years after ratification (2005 to 2008)				
12/2012		<b>First reports: 94</b> Four years after ratification (2005 to 2008)	<b>6</b>		
04/2013	<b>First reports: 11</b> Four years after ratification (2009)				
06/2013				<b>First reports: 94</b> Four years after ratification (2005 to 2008)	<b>4</b>
12/2013		<b>First reports: 11</b> Four years after ratification (2009)	<b>7</b>		
04/2014	<b>First reports: 6</b> Four years after ratification (2010)				
12/2014		<b>First reports: 6</b> Four years after ratification (2010)	<b>8</b>		
04/2015	<b>First reports XXX</b> Parties that ratify in 2011				
06/2015				<b>First reports: 17</b> 11 (Four years after ratification (2009)) 6 (Four years after ratification (2010))	<b>5</b>
12/2015		<b>First reports XXX</b> Parties that ratify in 2011	<b>9</b>		
04/2016	<b>First reports XXX</b> Parties that ratify in 2012 <b>Second reports: 94</b> Four years after ratification (2005 to 2008)				
12/2016		<b>First reports XXX</b> Parties that ratify in 2012 <b>Second reports: 94</b> Four years after ratification (2005 to 2008)	<b>10</b>		
04/2017	<b>First reports XXX</b> Parties that ratify in 2013 <b>Second reports: 11</b> Four years after ratification (2009)				

Date	Number of reports submitted to <i>Secretariat</i>	Number of reports examined by the <i>Committee</i>		Number of reports forwarded to the <i>Conference of Parties</i>	
		Number	Session	Number	Session
06/2017				<b>First reports XXX</b> Parties that ratify in 2011 and 2012 <b>Second reports: 94</b> Four years after ratification (2005 to 2008)	6
12/2017		<b>First reports XXX</b> Parties that ratify in 2013 <b>Second reports: 11</b> Four years after ratification (2009)	11		
04/2018	<b>First reports XXX</b> Parties that ratify in 2014 <b>Second reports: 6</b> Four years after ratification (2010)				
12/2018		<b>First reports XXX</b> Parties that ratify in 2014 <b>Second reports: 6</b> Four years after ratification (2010)	12		
04/2019	<b>First reports XXX</b> Parties that ratify in 2015 <b>Second reports XXX</b> Parties that ratify in 2011				
06/2019				<b>First reports XXX</b> Parties that ratify in 2013 and 2014 <b>Second reports: 17</b> 11 (Four years after ratification (2009)) 6 (Four years after ratification (2010))	7
12/2019		<b>First reports XXX</b> Parties that ratify in 2015 <b>Second reports XXX</b> Parties that ratify in 2011	13		
04/2020	<b>First reports XXX</b> Parties that ratify in 2016 <b>Second reports XXX</b> Parties that ratify in 2012 <b>Third reports: 94</b> Four years after ratification (2005 to 2008)				

Date	Number of reports submitted to <i>Secretariat</i>	Number of reports examined by the <i>Committee</i>		Number of reports forwarded to the <i>Conference of Parties</i>	
		Number	Session	Number	Session
12/2020		<b>First reports XXX</b> Parties that ratify in 2016 <b>Second reports XXX</b> Parties that ratify in 2012 <b>Third reports: 94</b> Four years after ratification (2005 to 2008)	14		
04/2021	<b>First reports XXX</b> Parties that ratify in 2017 <b>Second reports XXX</b> Parties that ratify in 2013 <b>Third reports: 11</b> Four years after ratification (2009)				
06/2021				<b>First reports XXX</b> Parties that ratify in 2015 and 2016 <b>Second reports XXX</b> Parties that ratify in 2011 and 2012 <b>Third reports: 94</b> Four years after ratification (2005 to 2008)	8
12/2021		<b>First reports XXX</b> Parties that ratify in 2017 <b>Second reports XXX</b> Parties that ratify in 2013 <b>Third reports: 11</b> Four years after ratification (2009)	15		
04/2022	<b>First reports XXX</b> Parties that ratify in 2018 <b>Second reports XXX</b> Parties that ratify in 2014 <b>Third reports: 6</b> Four years after ratification (2010)				
12/2022		<b>First reports XXX</b> Parties that ratify in 2018 <b>Second reports XXX</b> Parties that ratify in 2014 <b>Third reports: 6</b> Four years after ratification (2010)	16		

Date	Number of reports submitted to <i>Secretariat</i>	Number of reports examined by the <i>Committee</i>		Number of reports forwarded to the <i>Conference of Parties</i>	
		Number	Session	Number	Session
04/2023	<b>First reports XXX</b> Parties that ratify in 2019 <b>Second reports XXX</b> Parties that ratify in 2015 <b>Third reports XXX</b> Parties that ratify in 2011 <b>Fourth reports: 94</b> Four years after ratification (2005 to 2008)				
06/2023				<b>First reports XXX</b> Parties that ratify in 2017 and 2018 <b>Second reports XXX</b> Parties that ratify in 2013 and 2014 <b>Third reports: 17</b> 11 (Four years after ratification (2009)) 6 (Four years after ratification (2010))	<b>9</b>